



AMS-002 CIP CON  
31183-1050

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Juan Carlos Parodi  
Serial No. : 09/991,417  
Filed : 16 November 2001  
For : APPARATUS AND METHODS FOR REDUCING  
EMBOLIZATION DURING TREATMENT OF  
CAROTID ARTERY DISEASE  
Group Art Unit : 3763  
Examiner : Kevin C. Sirmons

Mail Stop Petition  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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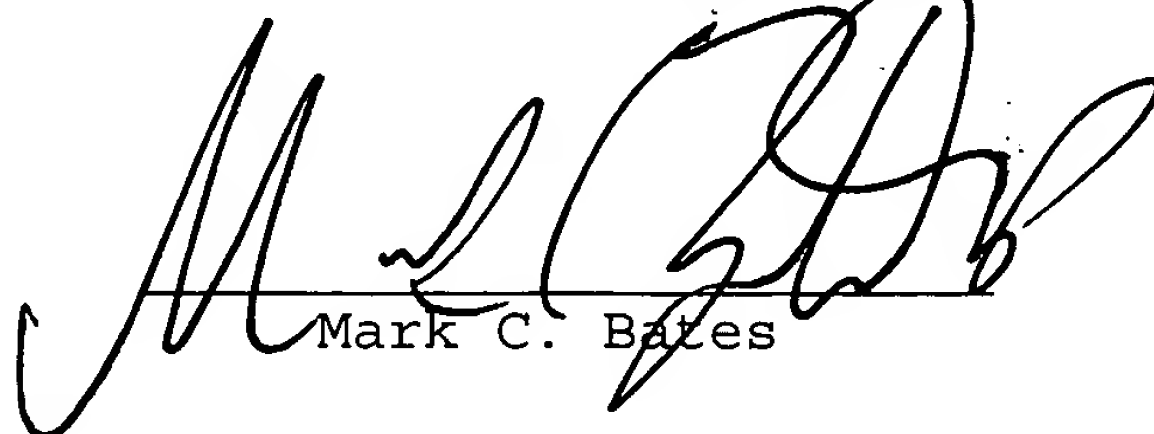
STATEMENT OF ADDED INVENTOR FOR  
PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48

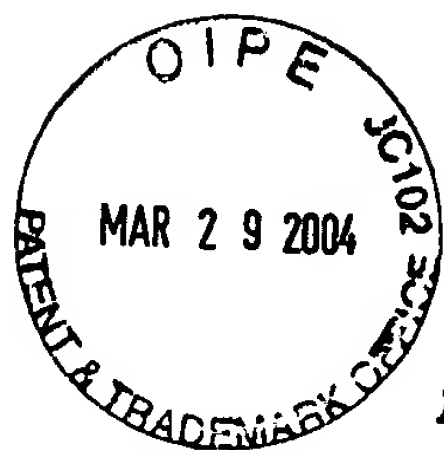
I, Mark C. Bates, submit this statement in support of the accompanying petition to add myself as a co-inventor to the above-identified patent. The error occurred without deceptive intention on my part.

Respectfully submitted,

11-24-03

Date

  
Mark C. Bates

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DECLARATION OF NAMED INVENTOR FOR  
PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48

I, Juan Carlos Parodi, submit this declaration in support of the accompanying petition to add Mark C. Bates as a co-inventor to the above-identified patent. I have no disagreement in regard to this requested change in inventorship.

Respectfully submitted,

2 23 04  
Date

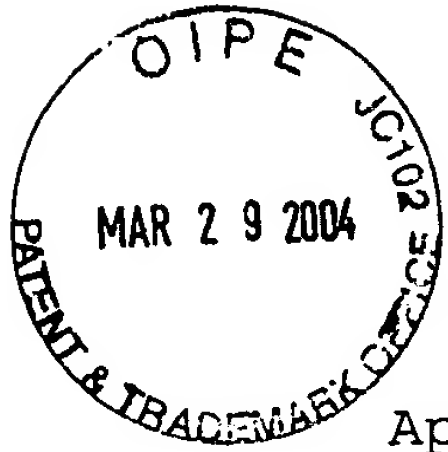
  
Juan Carlos Parodi

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AMS-002 CIP CON  
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PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48

Pursuant to 37 C.F.R. 1.48, applicants hereby petition to correct inventorship by adding Mark C. Bates as a named inventor of the subject matter claimed in this patent.

Applicants enclose the following documents in support of this petition:

1. Statement from Mark C. Bates, who is being added as an inventor, that the error occurred without deceptive intention on his part;
2. Declaration from Juan Carlos Parodi, the current named inventor, stating that he has no disagreement in regard to the requested change in inventorship;
3. Written consent of the assignee, Arteria Medical Science, Inc.; and

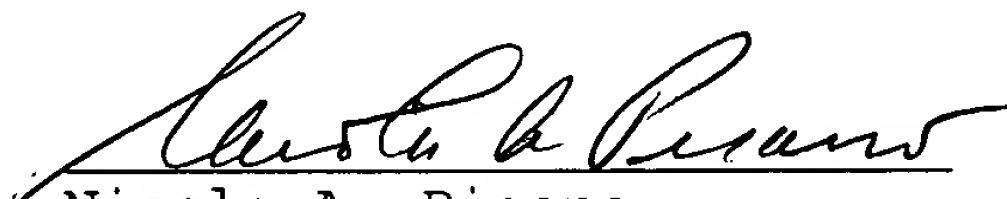
4. A copy of the Assignment as submitted for recordation assigning the present application from Mark C. Bates to the present assignee, Arteria Medical Sciences, Inc.; and

5. Authorization to charge Deposit Account 50-2298 in the amount of \$130.00 for the petition fee as set forth in 37 C.F.R 1.20(b).

CONCLUSION

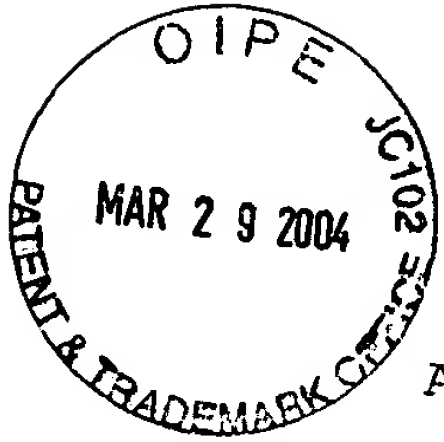
Pursuant to 37 C.F.R 1.20(b), the Commissioner is hereby authorized to charge any additional payments to Deposit Account No. 50-2298 for any additional required fees.

Respectfully submitted,



Nicola A. Pisano  
Registration No: 34,408  
Attorney for Applicants

c/o LUCE, FORWARD,  
HAMILTON & SCRIPPS, LLP  
11988 El Camino Real, Suite 200  
San Diego, California 92130  
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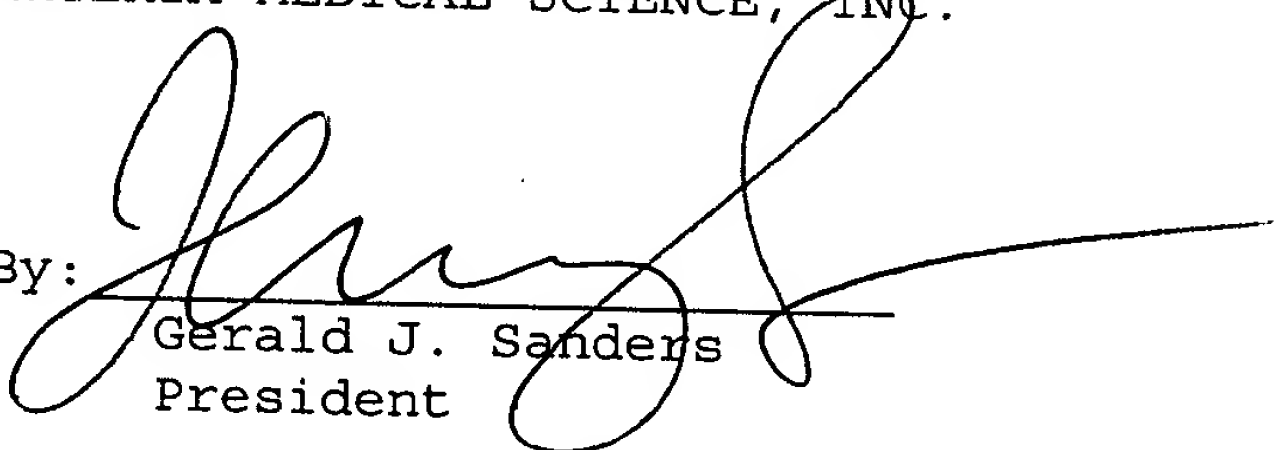
WRITTEN CONSENT OF ASSIGNEE FOR  
PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48

ARTERIA MEDICAL SCIENCE, INC., assignee of the above-identified patent application, hereby consents to correct the inventorship in this application by adding Mark C. Bates as an inventor.

Respectfully submitted,

ARTERIA MEDICAL SCIENCE, INC.

Jan 27/04  
Date

By:   
Gerald J. Sanders  
President

ASSIGNMENT

WHEREAS, I, Mark C. Bates, residing at 406 Quarry Point, Charleston, West Virginia 25304, have made an invention entitled:

**APPARATUS AND METHODS FOR REDUCING EMBOLIZATION DURING  
TREATMENT OF CAROTID ARTERY DISEASE**

and have made an application for United States Letters Patent therefor filed November 16, 2001 and assigned Serial No. 09/991,417; and

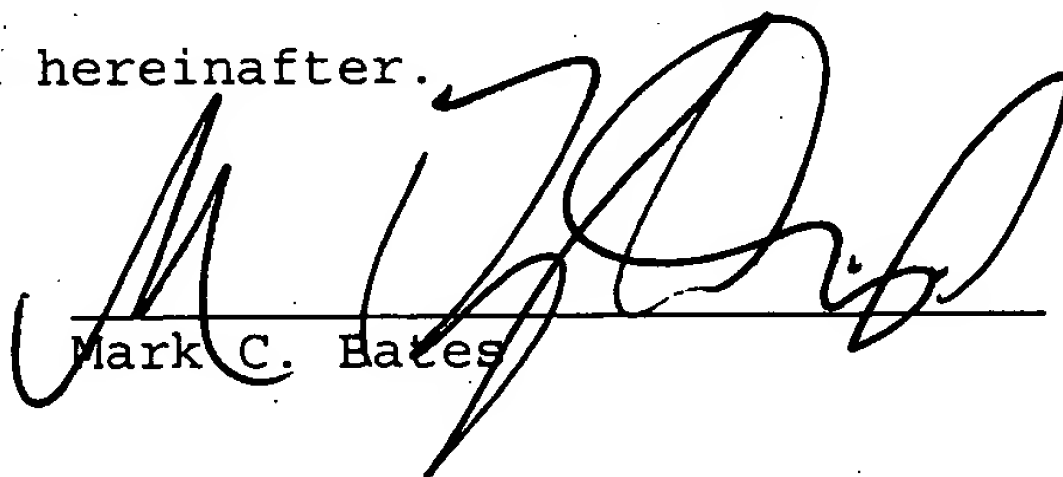
WHEREAS, ARTERIA MEDICAL SCIENCES, INC., a corporation organized and existing under the laws of the State of California and having a place of business at The Presidio - Old Army Headquarters, Building 220, Suite 120, P.O. Box 29450, San Francisco, California 94129-0450, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt of sufficiency of which is hereby acknowledged, I do hereby sell, assign and transfer unto the said ARTERIA MEDICAL SCIENCES, INC., its successors, assigns and legal representatives, all right, title and interest in and to said inventions and any improvements thereon for all countries of the world, and in and to said United States patent application, including any patent applications claiming the benefit thereof and any

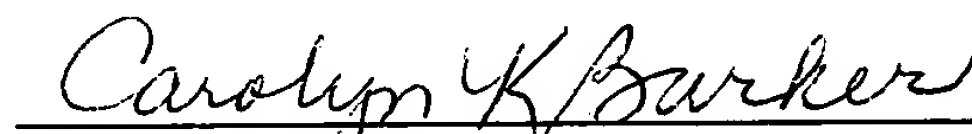
continuations and divisions thereof, and any substitute applications therefor, and any patent which may issue thereon, and any reissues or reexaminations of the same, and including the right to claim priority under the International Convention based on said patent application; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and I hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said ARTERIA MEDICAL SCIENCES, INC. as the assignee of the entire interest therein; and I further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, I have hereunto signed our names on the dates indicated hereinafter.

  
Mark C. Bates

On this 24 day of November 2003 Mark C. Bates personally appeared before me, a Notary Public in and for the State of West Virginia, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

  
NOTARY PUBLIC

